



ETH-5062

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of DAVID W. OVERAKER

Group Art Unit 3731

Serial No.: 10/609,336

Confirmation No. 9275

Filed: June 28, 2003

For: SURGICAL ANCHOR INSERTER

I hereby certify that this correspondence and/or fee is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, 1 22313-1450 on November 18, 2004

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November 18, 2004

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, applicant and his attorney respectfully request that the following patents, copies of which are attached hereto, be made of record in the official United States Patent and Trademark Office file relating to the aboveidentified patent application. The citation of the following patents should not be construed as an admission that they constitute statutory prior art with respect to the present invention.

U.S. Patent Nos.

4,632,100

5,207,679

5,395,372*

5,411,523

5,584,860

6,136,001*

Applicant's attorney notes that the patents that are designated with an asterisk, "*", were cited in a European Search Report issued in connection with applicant's corresponding European application (i.e., EP 04 25 3819). With reference to the attached copy of the aforesaid European Search Report, applicant's attorney also notes that U.S. Patent Publication No.

2002/0143329 listed therein has already been cited to the United States Patent and Trademark

Office in connection with the present application by way of an Information Disclosure Statement filed

August 9, 2004.

The remaining patents (i.e., U.S. Patent Nos. 4,632,100, 5,207,679, 5,411,523,

5,584,860) were cited during the prosecution of co-pending co-owned U.S. patent application having

U.S. Serial No 10/112,618, entitled "Threaded Cable Anchor". In this regard, it is noted that this co-

pending application was previously cited to the United States Patent and Trademark Office in

connection with the present application by way of the Information Disclosure Statement filed August

9, 2004.

All of the above patents are in the English-language. In such circumstances, no

additional comments regarding their relevance to the present application are deemed necessary.

In order to facilitate the Examiner's citation of the patents listed above, applicant's

attorney has completed United States Patent and Trademark Office Form PTO/SB/08a (formerly

PTO-1449). The completed form is attached hereto for the Examiner's convenience.

No fees are believed to be due in connection with the submission of this

Supplemental Information Disclosure Statement. If any such fees are due, the Examiner is hereby

authorized to charge them to Deposit Account No. 501402.

Respectfully Submitted,

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PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Attorney Docket Number ETH-5062 (97017/123)

nder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO Application Number 10/609.336 Filing Date June 28, 2003 INFORMATION DISCLOSURE First Named Inventor David W. Overaker STATEMENT BY APPLICANT Art Unit 3731 (Use as many sheets as necessary) Examiner Name To Be Assigned

of 1

Sheet 1 **U. S. PATENT DOCUMENTS** Cite Examine Document Number Publication Date Name of Patentee or Pages, Columns, Lines, Where MM-DD-YYYY Relevant Passages or Relevant Initials' No. Applicant of Cited Document Figures Appear Number-Kind Code² (# known) ^{US-} 4,632,100 1 12-30-1986 Somers et al. US- 5,207,679 2 05-04-1993 ^{US-} 5,395,372 03-07-1995 3 Holt et al. us- 5,411,523 05-02-1995 4 Goble ^{US-} 5,584,860 12-17-1996 5 Goble et al. ^{US-} 6,136,001 10-24-2000 6 Michelson US-US-US-US-US-US-US-ÜS-US-US-US-US-

FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages				
		Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	1			
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Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND** TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.